

**Item 1: Cover Page for  
Part 2B of Form ADV: Brochure Supplement  
January 22, 2024**



**CHARLES COURTNEY ROGERS**  
CRD #4326780

**Azimuth Wealth Advisors, LLC**  
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San Diego, CA 92130

Firm Contact:  
Charles Courtney Rogers  
Chief Compliance Officer

Firm Website Address:  
[www.azimuthwa.com](http://www.azimuthwa.com)

**This brochure supplement provides information about Charles Courtney Rogers that supplements our brochure. You should have received a copy of that brochure. Please contact Mr. Rogers if you did not receive Azimuth Wealth Advisors, LLC's brochure or if you have any questions about the contents of this supplement.**

**Additional information about Charles Courtney Rogers is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## **Item 2: Educational Background & Business Experience**

### **Charles Courtney Rogers**

**Year of Birth:** 1965

#### **Educational Background:**

- 1996: University of Southern California; MBA, Finance
- 1987: United States Military Academy; B.S., National Security & Public Affairs; Minors in Mathematics & Engineering

#### **Business Background:**

- 09/2017 – Present: Azimuth Wealth Advisors, LLC; President, CEO, Managing Member, Chief Compliance Officer
- 02/2015 – 12/2017: DW Advisors LLC dba Azimuth Wealth Advisors; Investment Advisor Representative
- 11/2008 – 02/2015: Morgan Stanley; Vice President and Financial Advisor
- 12/2000 – 11/2008: Merrill Lynch; Vice President and Financial Advisor

#### **Exams, Licenses & Other Professional Designations:**

- 02/2001 – Series 7 (inactive)
- 03/2001 – Series 66

#### **ChFC® - Chartered Financial Consultant®:**

The ChFC® is offered by The American College. To receive the ChFC® designation, you must successfully complete all courses in your selected program, meet experience requirements and ethics standards, and agree to comply with The American College Code of Ethics and Procedures.

Three years of full-time business experience is required for all Huebner School designations. The following activities meet the required business experience qualifications included in the ChFC® certification process.

The Chartered Financial Consultant (ChFC®) designation is obtained by completing 6 core, 2 elective courses and a final exam for each course. As a prerequisite, the IAR must have 3 years of full time business experience within the 5 years preceding the awarding of the designation. This designation requires 30 hours of continuing education every 2 years.

#### **CIMA® - Certified Investment Management Analyst®:**

The CIMA® certification signifies that an individual has met initial and on-going experience, ethical, education, and examination requirements for investment management consulting. Prerequisites for the CIMA® certification are three years of financial services experience and an acceptable regulatory history. To obtain the CIMA® certification, candidates must pass an online Qualification Examination, successfully complete a one-week classroom education program provided by a Registered Education Provider, pass an online Certification Examination, and have an acceptable regulatory history. CIMA® designees are required to adhere to the *Code of Professional Responsibility, Standards of Practice, and Rules and Guidelines for Use of the Marks* set forth by The Investments & Wealth Institute®, formerly the Investment Management Consultants Association® (IMCA®). CIMA®

designees must report 40 hours of continuing education credits, including two ethics hours, every two years to maintain the certification. The designation is administered through The Investments & Wealth Institute®, formerly the Investment Management Consultants Association® (IMCA®).

### **Item 3: Disciplinary Information**

Mr. Rogers, as a registered investment adviser representative, is required to disclose all material facts regarding any legal or disciplinary event that would be material to your evaluation of him. Mr. Rogers has no applicable legal or disciplinary events required to be disclosed under this Item.

### **Item 4: Other Business Activities**

Mr. Rogers has nothing to disclose in this regard.

### **Item 5: Additional Compensation**

Mr. Rogers has nothing to disclose in this regard.

### **Item 6: Supervision**

Mr. Rogers is the CEO, President, Managing Member & Chief Compliance Officer of Azimuth Wealth Advisors, LLC. In this capacity, Mr. Rogers is responsible for overseeing all advice provided to clients. If you have any questions, please contact Mr. Rogers at (858) 225-8210.

### **Item 7: Requirements for State Registered Advisers**

In addition to any events listed in Item 3 of Part 2B, state-registered investment advisers are required to disclose all material facts regarding bankruptcy, certain arbitration, civil, self-regulatory organization, or administrative proceedings involving its supervised persons. Mr. Rogers has no information required to be disclosed under this Item and has not been the subject of any bankruptcy petition.